

Government Investigations & White Collar Defense

ZAG-S&W's Government Investigations & White Collar Defense group comprises seasoned investigative, trial and appellate attorneys, including former federal prosecutors, other former government attorneys and tax attorneys, who strive to settle the dispute as professionally, effectively and quietly as possible.

With substantial experience drawn from many hundreds of investigations, regulatory actions and prosecutions, we have conducted many internal corporate investigations in a variety of industries, such as financial services, technology, healthcare, telecommunications, manufacturing, business services and nonprofit. We have successfully represented numerous directors and officers in response to SEC investigations; a trading specialist in the dismissal of all securities fraud and other criminal charges; a high-profile asset manager in connection with investigation concerning the Bernie Madoff Ponzi scheme; subjects and targets of high-profile "options backdating" and Ponzi-scheme investigations; and many targets of tax investigations and controversies involving in total billions of dollars in alleged tax obligations.

Comfortable in the courtroom and in government offices, we have sued state Attorneys General for the use of false adverse publicity against a client, obtained the dismissal of false statement claims brought by the Office of the Attorney General, and won a motion to suppress and the dismissal of all charges in a federal criminal prosecution in the District of Massachusetts.

Representative Client Work

- Internal corporate investigations in a variety of industries, including financial services, technology, health care, telecommunications, manufacturing, business services and not-for-profit.
- Obtained the dismissal of all securities fraud and other criminal charges pending against a trading specialist in the Eastern District of New York.
- Represented numerous targets of tax investigations and controversies involving in total billions of dollars in alleged tax obligations.
- Sued state Attorney General for use of false adverse publicity against client, obtaining valuable consideration in a prompt settlement.
- Represented numerous directors and officers in response to SEC investigations.
- Obtained dismissal of assault charges against a celebrity and the sealing of all charges against him.
- Won a motion to suppress and the dismissal of all charges in a federal criminal prosecution in the District of Massachusetts.
- Represented a leading national electronics retailer in quashing investigative subpoenas seeking customer information.
- Obtained dismissal of false statement claims brought by the Office of the Attorney General based on allegations of related-party transactions.
- Obtained reduction of charges from felonies to misdemeanors for professionals in matters ranging from alleged computer hacking in New Hampshire to alleged theft from a charity in New York.
- Represented a Japanese chemical company accused of price-fixing and exposed to penalties in excess of \$100 million, resolved at a tiny fraction of that on the most

favorable terms of any target.

- Representation of subjects and targets of high-profile “options backdating” and Ponzi-scheme investigations.
- Represented high profile asset manager in connection with investigation concerning the Bernie Madoff Ponzi scheme.
- Obtained a dismissal of environmental “whistleblower” complaints filed with federal and state authorities by disgruntled former employee of equipment components manufacturer.
- Defended a chemical manufacturer against U.S. EPA’s environmental clean-up and cost recovery claims involving contaminated watershed and surrounding property, and serving as co-counsel in private party and government CERCLA litigation.

For further information about our Government Investigations & White Collar Defense practice, please visit our website at www.zag-sw.com or contact: